

PATENT COOPERATION TREATY
UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: SUTO, Michitaka et al.
Serial No. Unknown
Filing Date: Herewith
Title: POLYSILOXANE FILM AND PROCESS FOR PRODUCING
THE SAME
Attorney Docket: 71,039-002

EXPRESS MAIL CERTIFICATE

"Express Mail" Label Number: EV 612876618 US

Date of Deposit: December 3, 2004

I hereby certify that a copy of the following attached papers or fees:

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING
A FILING UNDER 35 U.S.C. 371 (2 PAGES)
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PCT AND
INTERNATIONAL SEARCH REPORT
IPER
PRELIMINARY AMENDMENT
ENGLISH TRANSLATION
CHECK IN THE AMOUNT OF \$1,126.00
POSTCARD

Are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to MAIL STOP PCT, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.


Dawn Large

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE
(REV. 07-2004)

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

71,039-002

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/516840INTERNATIONAL APPLICATION NO.
PCT/JP03/07154INTERNATIONAL FILING DATE
05 June 2003 (05.06.03)PRIORITY DATE CLAIMED
05 June 2002 (05.06.02)

TITLE OF INVENTION

POLYSILOXANE FILM AND PROCESS FOR PRODUCING THE SAME

APPLICANT(S) FOR DO/EO/US

SUTO, Michitaka; KATOSOULIS, Dimitris; KUSHIBIKI Nobuo;

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. EV 612876618 US
23. ☒ Other items or information:

**Express Mail Certificate
Post Card**

U.S. APPLICATION NO (if known, see 37 CFR 1.5) <div style="font-size: 24pt; font-weight: bold; text-align: center;">10/516840</div>		INTERNATIONAL APPLICATION NO. <div style="text-align: center;">PCT/JP03/07154</div>		ATTORNEY'S DOCKET NUMBER <div style="text-align: center;">71,039-002</div>	
24. The following fees are submitted:. BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)) : <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) </div> <div style="text-align: right;"> \$1110.00 \$950.00 \$790.00 \$750.00 \$100.00 </div> </div> <div style="text-align: center; margin-top: 5px;"> ENTER APPROPRIATE BASIC FEE AMOUNT = </div>				CALCULATIONS PTO USE ONLY <div style="border: 1px solid black; height: 100px; width: 100%;"></div>	
Surcharge of \$130.00 for furnishing the oath or declaration later than _____ months from the earliest claimed priority date (37 CFR 1.492(e)). <div style="display: flex; justify-content: flex-end; gap: 20px;"> <input type="checkbox"/> 20 <input type="checkbox"/> 30 </div>				<div style="border: 1px solid black; padding: 2px;">\$950.00</div> <div style="border: 1px solid black; padding: 2px;">\$0.00</div>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	14 - 20 =	0	x \$18.00	\$0.00	
Independent claims	5 - 3 =	2	x \$88.00	\$176.00	
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$1,126.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$0.00	
SUBTOTAL =				\$1,126.00	
Processing fee of \$130.00 for furnishing the English translation later than _____ months from the earliest claimed priority date (37 CFR 1.492(f)). <div style="display: flex; justify-content: flex-end; gap: 20px;"> <input type="checkbox"/> 20 <input type="checkbox"/> 30 </div>				\$0.00	
TOTAL NATIONAL FEE =				\$1,126.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>				\$0.00	
TOTAL FEES ENCLOSED =				\$1,126.00	
				Amount to be: refunded	\$
				charged	\$
<div style="display: flex;"> <div style="flex: 1;"> <p>a. <input checked="" type="checkbox"/> A check in the amount of <u>\$1,126.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.</p> <p>c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>08-2789</u></p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> </div> <div style="flex: 1; border-left: 1px solid black; padding-left: 10px; margin-left: 10px;"> <p style="font-size: 18pt; font-family: cursive;">David M. LaPrairie</p> <p>SIGNATURE</p> <p>David M. LaPrairie</p> <p>NAME</p> <p>46,295</p> <p>REGISTRATION NUMBER</p> <p>December 3, 2004</p> <p>DATE</p> </div> </div>					